



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Louis B. Rosenberg

Serial No.: 09/823,943 Filing Date: March 30, 2001

For: HAPTIC REMOTE CONTROL FOR TOYS

Examiner: Cegielnik, Urzula M.

Art Unit: 3712

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith on Form PTO/SB/08A a listing of documents known to the Applicant and/or his attorney. Applicant respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicant does not waive any rights to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. Copies of the documents are enclosed.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

Express Mail No. EV 315 187 906 US

This Information Disclosure Statement is being submitted before the mailing date of a Notice of Allowance in this application. No item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. In accordance with the requirements of 37 C.F.R. § 1.97(d), please find attached a check in the amount of \$180.00 to cover the fee set forth in 37 C.F.R. § 1.17p. The Commissioner is authorized to charge any additional fees to Deposit Account 16-1435. A duplicate of this document is attached for that purpose.

Respectfully submitted,

Date: 001.12, 2004

John C. Alemanni (Reg. No. 47,384)

KILPATRICK STOCKTON LLP 1001 West Fourth Street Winston-Salem, NC 27101 (336) 607-7300 Express Mail No.: EV 366 599 849 US

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/823,943 Filing Date March 30, 2001 First Named Inventor Louis B. Rosenberg Art Unit 3712 Cegielnik, Urzula M. Examiner Name

(Use as many sheets as necessary)

Substitute for form 1449A/PTO

ATEAN

IMM127 (51851/281195) Sheet of Attorney Docket Number

	U.S. PATENT DOCUMENTS							
Examiner	Cita	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant			
Initials *	Cite No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear			
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant			
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>8</sup>		
		EP 0 940 162 A1	9/8/99	Sony Computer Entertainment, Inc.				

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. § Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. § Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No.: EV 366 599 849 US

PTO/SB/17 (10-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL** for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

Name (Print/Type)

Signature

42	- 1	80	n

Complete if Known				
Application Number	09/823,943			
Filing Date	March 30, 2001			
First Named Inventor	Louis B. Rosenberg			
Examiner Name	Cegielnik, Urzula M.			
Art Unit	3712			
Attornov Docket No.	IMM127 (51851/281195)			

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)					
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None				3. AD	DITIO	NAL FE	ES			
Order  Deposit Account:					Entity	Small I				
Deposit			<del></del> -	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee	Paid	
Account	16-1435		1	1051	130	2051	65	Surcharge - late filing fee or oath		
Number				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit					130	1053	130	Non-English specification		
Name	Kilpatrick Stockton LLP			1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
The Director is a	uthorized to: (check a		monto	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) or any underpayment of fee(s) ☐ Charge fee(s) indicated below, except for the filing fee					1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-ident	fied deposit account.			1251	110	2251	55	Extension for reply within first month		
	FEE CALCUL	ATION		1252	430	2252	215	Extension for reply within second month		
1. BASIC,F	LING FEE			1253	980	2253	490	Extension for reply within third month		
Large Entity Small Entity					1,530	2254	765	Extension for reply within fourth month		
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, , ,	ode (\$) 001 395 Utility fil	lina fee	reeralu	1401	340	2401	170	Notice of Appeal		
	•	filing fee	<del>                                     </del>	1402	340	2402	170	Filing a brief in support of an appeal		
	003 275 Plant fil	•		1403	300	2403	150	Request for oral hearing		
1004 790 2		filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 2	005 80 Provisio	onal filling fee		1452	110	2452	55	Petition to revive - unavoidable		
'				1453	1,370	2453	685	Petition to revive – unintentional		
	SUBTOTAL (1)		(\$) 0	1501	1,370	2501	685	Utility issue fee (or reissue)		
2. EXTRA CLA	IM FEES FOR UTIL	ITY AND REIS	SUE	1502	490	2502	245	Design issue fee		
	<b>.</b>	Fee from	5 5	1503	660	2503	330	Plant issue fee		
Total Claims	Extra Clair	ms below	Fee Paid	1460	130	1460	130	Petitions to the Commissioner		
Independent		<b>=</b> = = =	i <del></del>	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		
Claims	- ** = 0	×	=   0	1806	180	1806	180	Submission of Information Disclosure Stmt	\$180	
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Large Entity Fee Fee	Small Entity Fee Fee -			1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$)	Code (\$)	Description		1810	790	2810	395	For each additional invention to be		
1202 18		ims in excess of 20						examined (37 CFR § 1.129(b))		
1201 88 1203 300		ependent claims in ( Itiple dependent clai		1801	790	2801	395	Request for Continued Examination (RCE)		
	** 5	Reissue independen		1802	900	1802	900	Request for expedited examination		
1204 88	orig	inal patent					•••	of a design application		
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**or number previo	usly paid, if greater; For	Reissues, see abo	DVΘ					30510172 (3)		
SUBMITTED BY	SUBMITTED BY Complete (if applicable)									

WARNING: Information on this form may become public. Credit card information should not be inclyded on this form. Provide credit card information and authorization on PTO-2038.

Registration No. (Attorney/Agent)

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

47,384

336-607-7311

October 12, 2004

Telephone